

03/30/01



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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0851-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | 42390P10779                               |
| First Inventor         | Guojun Zhou                               |
| Title                  | METHOD AND APPARATUS FOR PROVIDING CUSTOM |
| Express Mail Label No. | EL034438674US                             |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 6]
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
  - c. ☐ Unsigned
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: .....

Prior application Information: Examiner .....

Group/Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number of Bar Code Label

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or ☐ Correspondence address below

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State

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Telephone

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Name (Print/Type) Kerry D. Tweet

Registration No. (Attorney/Agent)

45,959

Signature

Date 03/30/01

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**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT** (\$) 1,290.00**Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          | March 30, 2001 |
| First Named Inventor | Guojun Zhou    |
| Examiner Name        |                |
| Group/Art Unit       |                |
| Attorney Docket No.  | 42390P10779    |

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to credit any overpayments to:
- Deposit Account Number: 02-2666
- Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
- ☐ Applicant claims small entity status See 37 CFR 1.27.

2. ☒ **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Description        | Fee Paid           |
|---------------------|----------|--------------|----------|------------------------|--------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |                    |
| 101                 | 710      | 201          | 355      | Utility filing fee     | 710.00             |
| 106                 | 320      | 206          | 160      | Design filing fee      |                    |
| 107                 | 490      | 207          | 245      | Plant filing fee       |                    |
| 108                 | 710      | 208          | 355      | Reissue filing fee     |                    |
| 114                 | 150      | 214          | 75       | Provisional filing fee |                    |
| <b>SUBTOTAL (1)</b> |          |              |          |                        | <b>(\$)</b> 710.00 |

**EXTRA CLAIM FEES**

| Large Entity       |          | Small Entity |          | Extra Claims | Fee from below |                    |
|--------------------|----------|--------------|----------|--------------|----------------|--------------------|
| Fee Code           | Fee (\$) | Fee Code     | Fee (\$) |              |                |                    |
| Total Claims       | 30       | -            | 20**     | =            | 10             | X 18.00 = \$180.00 |
| Independent Claims | 8        | -            | 3**      | =            | 5              | X 80.00 = \$400.00 |
| Multiple Dependent |          |              |          |              |                |                    |

\*\*or number previously paid, if greater, For Reissues, see below

| Large Entity        |          | Small Entity |          | Fee Description   | Fee Paid           |
|---------------------|----------|--------------|----------|---|--------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |   |                    |
| 103                 | 18       | 203          | 9        | Claims in excess of 20                                    |                    |
| 102                 | 80       | 202          | 40       | Independent claims in excess of 3                         |                    |
| 104                 | 260      | 204          | 135      | Multiple Dependent claim, if not paid                     |                    |
| 109                 | 80       | 209          | 40       | **Reissue independent claims over original patent         |                    |
| 110                 | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |                    |
| <b>SUBTOTAL (2)</b> |          |              |          |   | <b>(\$)</b> 580.00 |

**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

| Large Entity                       |          | Small Entity |          | Fee Description  | Fee Paid    |
|------------------------------------|----------|--------------|----------|--|-------------|
| Fee Code                           | Fee (\$) | Fee Code     | Fee (\$) |  |             |
| 105                                | 130      | 205          | 65       | Surcharge - late filing fee or oath  |             |
| 127                                | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                                | 130      | 139          | 130      | Non-English specification  |             |
| 147                                | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |             |
| 112                                | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |             |
| 113                                | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |             |
| 115                                | 110      | 215          | 55       | Extension for response within first month                                  |             |
| 116                                | 390      | 216          | 195      | Extension for response within second month                                 |             |
| 117                                | 890      | 217          | 445      | Extension for response within third month                                  |             |
| 118                                | 1,390    | 218          | 695      | Extension for response within fourth month                                 |             |
| 128                                | 1,890    | 228          | 945      | Extension for response within fifth month                                  |             |
| 119                                | 310      | 219          | 155      | Notice of Appeal   |             |
| 120                                | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |             |
| 121                                | 270      | 221          | 135      | Request for oral hearing   |             |
| 138                                | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |             |
| 140                                | 110      | 240          | 55       | Petition to revive - unavoidable   |             |
| 141                                | 1,240    | 241          | 620      | Petition to revive - unintentional   |             |
| 142                                | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |             |
| 143                                | 440      | 243          | 220      | Design issue fee   |             |
| 144                                | 600      | 244          | 300      | Plant issue fee  |             |
| 122                                | 130      | 122          | 130      | Petitions to the Commissioner  |             |
| 123                                | 130      | 123          | 130      | Petitions related to provisional applications                              |             |
| 126                                | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |             |
| 581                                | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |             |
| 146                                | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                                | 710      | 249          | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                                | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |             |
| 169                                | 900      | 169          | 900      | Request for expedited examination of a design application                  |             |
| Other fee (specify) _____          |          |              |          |  |             |
| Other fee (specify) _____          |          |              |          |  |             |
| * Reduced by Basic Filing Fee Paid |          |              |          |  |             |
| <b>SUBTOTAL (3)</b>                |          |              |          |  | <b>(\$)</b> |

**SUBMITTED BY**

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(Attorney/Agent)

45,959

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03/30/01

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